

PATENT  
Docket: P-10855.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Haarstad et al	)	Art Unit:
	)	
Serial No.: 10/675,815	)	Examiner:
	)	
Filed: 09-30-2003	)	
	)	
For:		METHOD AND APPARATUS PROVIDING SUCTION-ASSISTED TISSUE ENGAGEMENT THROUGH A MINIMALLY INVASIVE INCISION

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Philip J. Haarstad et al  
By their Representatives,

By:   
Daniel W. Latham  
Attorney for Applicants  
Registration No. 30,401  
Telephone: (763) 391-9661  
Customer No.: 27581

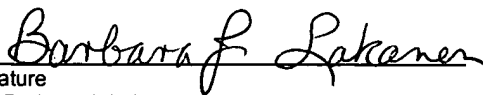
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of: Haarstad et al  
For: METHOD AND APPARATUS PROVIDING SUCTION-ASSISTED TISSUE ENGAGEMENT THROUGH A MINIMALLY INVASIVE INCISION  
Serial No.: 10/675,815  
Filed: 09-30-2003



**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15 day of January, 2004.

  
\_\_\_\_\_  
Signature  
Barbara J. Lakanen  
\_\_\_\_\_  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement Transmittal
- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies of cited foreign patent documents and other references
- ☒ Return Postcard

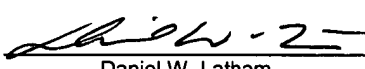
**FEE CALCULATION**

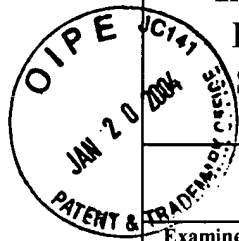
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(b)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$240.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$130.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Amendment Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

Date

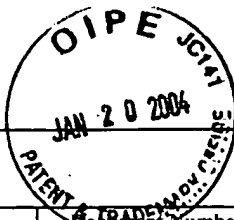
1/13/2004

  
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<b>INFORMATION DISCLOSURE STATEMENT</b>		<b>Atty. Docket No.: P-10855.01</b>		<b>Serial No.: 10/675,815</b>			
		<b>Applicant(s): Haarstad et al</b>					
		<b>Filing Date: 09-30-2003</b>			<b>Group:</b>		
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>SubClass</b>	<b>Filing Date If Appropriate</b>
		452,131	05/1891	Haughawout			
		3,783,873	01/1974	Jacobs	128	303R	
		4,049,002	09/1977	Kletschka et al	128	318	
		4,306,561	12/1981	De Medinaceli	128	303.13	
		4,688,570	08/1987	Kramer et al	128	305	
		4,726,356	02/1988	Santilli et al	128	20	
		4,925,443	05/1990	Heilman et al	600	16	
		4,955,896	09/1990	Freeman	606	210	
		4,973,300	11/1990	Wright	600	37	
		5,098,369	03/1992	Heilman et al	600	16	
		5,119,804	06/1992	Anstadt	12	64	
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		5,300,087	04/1994	Knoepfler	606	207	
		5,336,252	08/1994	Cohen	607	119	
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		5,383,840	01/1995	Heilman et al	600	17	
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		4,808,163	02/1989	Laub	604	105	
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		4,865,019	09/1989	Phillips	128	20	
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		5,287,861	02/1994	Wilk	128	898	
		5,365,921	11/1994	Bookwalter et al	128	20	
<b>EXAMINER</b>				<b>Date Considered</b>			

**\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**



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	5,425,705	06/1995	Evard et al	604	28	
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	5,108,412	04/1992	Krumeich et al	606	166	
	5,749,892	05/1998	Vierra et al	600	204	
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	5,009,660	04/1991	Clapham	606	166	
	4,962,758	10/1990	Lasner et al	128	41	
	5,545,123	08/1996	Oritz et al	600	235	
	5,836,311	11/1998	Borst et al	128	897	
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	4,711,247	12/1987	Fishman	128	743	
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	6,019,722	02/2000	Spence et al	600	210	
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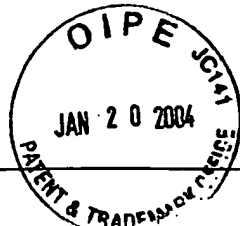
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	5,613,937	03/1997	Garrison et al	600	201	
	5,509,890	04/1996	Kazama	600	37	
	5,472,438	12/1995	Schmit et al	606	1	
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	5,891,017	04-06-1999	Swindle et al	600	205	
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	5,967,972	10-19-1999	Santilli et al	600	232	
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	4,049,000	09-20-1977	Williams	128	279	
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	5,772,583	06-30-1998	Wright et al	600	232	
	5,782,746	07-21-1998	Wright	600	37	
	5,888,247	03-30-1998	Benetti	623	66	
	5,947,896	09-07-1999	Sherts et al	600	229	
	5,976,171	11-02-1999	Taylor	606	198	
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Date Considered

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[illegible]**Date Considered**

Patent and Trademark Office, U.S. Department of Commerce



## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	SubClass	Translation	
							Yes	No
		WO 96/00033	01/1996	PCT			X	
		WO 94/03142	02/1994	PCT			X	
		0 293 760 A3 ✓	05/1988	EPO			X	
		DE 29708050 ✓	05/1997	Germany				X
		G 9004513.0 ✓	04/1990	Germany				X
		WO 87/04081 ✓	07/1987	PCT			X	
		WO 88/00481	01/1988	PCT			X	
		WO 94/14383	07/1994	PCT			X	
		WO 95/15715 ✓	06/1995	PCT			X	
		WO 95/17127 ✓	06/1995	PCT			X	
		WO 97/10753 ✓	03/1997	PCT			X	
		WO 95/01757 ✓	01/1995	PCT			X	
		WO 98/10705 ✓	03/1998	PCT			X	
		WO 98/17182	04/1998	PCT			X	
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		0 630 629 A1	12/1994	EPO			X	
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		0 808 606 A1	11/1997	EPO			X	
		0 167 345 A1	01/1986	EPO			X	
		0 920 835 A1	06/1999	EPO			X	
		WO 99/16367	04/1999	PCT			X	
		GB 2 140 695A	12/1984	UK			X	
		GB 2 214 428A	09/1989	UK			X	
		GB 2 214 428B	06/1991	UK			X	
		0 432 560 A2	11/1990	EPO				X
		WO 94/14715	07/1994	PCT			X	
		WO 94/18881	09/1994	PCT			X	
		0 908 139 A1	04/1999	EPO			X	
		0 919 193 A1	06/1999	EPO			X	
		WO 00/06041	02-10-2000	PCT			X	
		WO 97/40751	11-06-1997	PCT			X	
		WO 98/49947	11-12-1998	PCT			X	
		WO 98/48703	11-05-1998	PCT			X	
		WO 99/08585	02-25-1999	PCT			X	
		WO 99/09892	03-04-1999	PCT			X	

EXAMINER

Date Considered

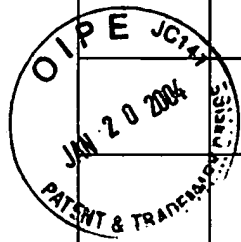
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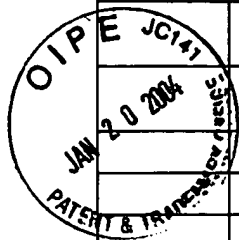
OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)	
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<b>EXAMINER</b>	<b>Date Considered</b>
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

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